

**PATENT**  
**Attorney Docket No. BDO-002.01**

In re Application of: Levin et al.  
Application No: Not Yet Assigned  
Filed: December 4, 2003  
For: Authenticating Licenses for Legally-  
Protectable Content Based on License  
Profiles and Content Identifiers

Art Unit: Not Yet Assigned  
Examiner: Not Yet Assigned

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office Action** on the merits, or before the mailing of a **first Office Action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

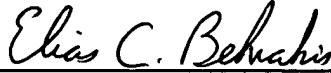
### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,  
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Form PTO-1449 <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>BDO-002.01</b>		Application Number Not Yet Assigned	
	Applicant <b>Levin et al.</b>			
	Filing Date <b>December 4, 2003</b>		Group Art Unit Not Yet Assigned	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER		DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,313,616	05/17/94	Cline et al.	395	500	09/18/90
	A2	5,774,883	06/30/98	Andersen et al.	205	38	05/25/95
	A3	5,917,912	06/29/99	Ginter et al.	380	24	01/08/97
	A4	5,958,051	09/28/99	Renaud et al.	713	200	01/09/97
	A5	6,035,402	03/07/00	Vaeth et al.	713	201	12/20/96
	A6	6,072,493	06/06/00	Driskell et al.	345	356	03/30/98
	A7	6,189,146 B1	02/13/01	Misra et al.	717	11	03/18/98
	A8	6,249,769 B1	06/19/01	Ruffin et al.	705	7	11/02/98
	A9	6,260,141 B1	07/10/01	Park	713	155	03/01/00
	A10	6,330,670 B1	12/11/01	England et al.	713	2	01/08/99
	A11	6,480,959 B1	11/12/02	Granger et al.	713	189	11/20/98
	A12	6,546,114 B1	04/08/03	Venkatesan et al.	382	100	09/07/99
	A13	6,574,348 B1	06/03/03	Venkatesan et al.	382	100	09/07/99
	A14	US 2002/0065781 A1	05/30/02	Hillegass et al.	705	59	04/27/01
	A15	US 2002/0138441 A1	09/26/02	Lopatic	705	59	08/03/01
	A16	US 2002/0138764 A1	09/26/02	Jacobs et al.	713	201	01/31/02
	A17	US 2003/0172035 A1	09/11/03	Cronce et al.	705	59	03/08/02
	A18	US 2002/0188608 A1	12/12/02	Nelson et al.	707	10	06/12/01
	A19	US 2002/0194010 A1	12/19/02	Bergler et al.	705	1	06/15/01
	A20	US 2003/0126456 A1	07/03/03	Birzer et al.	713	193	11/12/02
	A21	US 2003/0167236 A1	09/04/03	Stefik et al.	705	51	03/31/03

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

**OTHER DOCUMENTS***(Including Author, Title, Date, Pertinent Pages Etc.)*

C1	GPL Compliance Toolset, <a href="http://www.metrowerks.com/MW/Develop/Embedded/Linux/GPLCT.htm">http://www.metrowerks.com/MW/Develop/Embedded/Linux/GPLCT.htm</a> , printed on 11/25/03, pp. 1-2
C2	ZDNet UK- News- Motorola picks Lineo for set-top box, <a href="http://news.zdnet.co.uk/hardware/emergingtech/0,39020357,2093969,00.htm">http://news.zdnet.co.uk/hardware/emergingtech/0,39020357,2093969,00.htm</a> , printed on 11/26/03, pp. 1-3

Form PTO-1449		Docket Number (Optional) <b>BDO-002.01</b>	Application Number Not Yet Assigned
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>		Applicant <b>Levin et al.</b>	
		Filing Date <b>December 4, 2003</b>	Group Art Unit Not Yet Assigned
	C3	Lineo launches 'anti-FUD' campaign with license ID tool, <a href="http://www.linuxdevices.com/news/NS6538038187.html">http://www.linuxdevices.com/news/NS6538038187.html</a> , printed on 11/26/03, pp. 1-4	
	C4	Linux DevCenter: Lineo's GPL Compliance Tool [Oct. 4, 2001], <a href="http://www.oreillynet.com/pub/a/linux/2001/10/04/lineo.html">http://www.oreillynet.com/pub/a/linux/2001/10/04/lineo.html</a> , printed on 11/26/03, pp. 1-4	
	C5	LIDESC: Librock License Awareness System, <a href="http://www.mibsoftware.com/librock/lidesc">http://www.mibsoftware.com/librock/lidesc</a> , printed on 11/26/03, pp. 1-2	
	C6	Creating a LIDESC_TAGS string, <a href="http://www.mibsoftware.com/librock/lidesc/tags.htm">http://www.mibsoftware.com/librock/lidesc/tags.htm</a> , printed on 11/26/03, pp. 1-9	
	C7	NewsForge/ New utility helps prevent software license violations, <a href="http://www.newsforge.com/software/02/04/23/163211.shtml?tid=51">http://www.newsforge.com/software/02/04/23/163211.shtml?tid=51</a> , printed on 11/26/03, pp. 1-3	
	C8	New utility helps prevent software license violations [NewsForge], <a href="http://www.linuxdevices.com/news/NS3340825769.html">http://www.linuxdevices.com/news/NS3340825769.html</a> , printed on 11/26/03, pp. 1-3	
	C9	[fsl-discuss] Automatic reporting of license terms, <a href="http://lists.alt.org/pipermail/fsl-discuss/2002-April/000309.html">http://lists.alt.org/pipermail/fsl-discuss/2002-April/000309.html</a> , printed on 11/26/03, pp. 1-2	
	C10	[fsl discuss] Automatic reporting of license terms, <a href="http://lists.alt.org/pipermail/fsl-discuss/2002-April/000312.html">http://lists.alt.org/pipermail/fsl-discuss/2002-April/000312.html</a> , printed on 11/26/03, pp. 1-3	
	C11	Query FTP Software License Database, <a href="http://www.uth.tmc.edu/iaims/network/ftp.html">http://www.uth.tmc.edu/iaims/network/ftp.html</a> , printed on 11/26/03, p. 1	
	C12	TapTopFolder, <a href="http://www.systemconsulting.co.uk/index.php?page=software/products.html">http://www.systemconsulting.co.uk/index.php?page=software/products.html</a> , printed on 11/26/03, p. 1	
	C13	TapTopFolder, <a href="http://www.systemconsulting.co.uk/index.php?page=software/products/writeme.html">http://www.systemconsulting.co.uk/index.php?page=software/products/writeme.html</a> , printed on 11/26/03, p. 1	
	C14	TapTopFolder, <a href="http://www.systemconsulting.co.uk/index.php?page=software/products/licensedb.html">http://www.systemconsulting.co.uk/index.php?page=software/products/licensedb.html</a> , printed on 11/26/03, p. 1	
	C15	ASAP Software, A Buhrmann Company, 1999-2002 ASAP Software, pp. 1-2	
	C16	<a href="http://www.mibsoftware.com/librock/lidesc">http://www.mibsoftware.com/librock/lidesc</a> , printed 7/18/03, pp. 1-23	
	C17	Article: How Copyleft Uses License Rights to Succeed in the Open Source Software Revolution and the Implication for Article 2B, HCUL.doc, 10/2/03, pp. 179-194	
	C18	Various Licenses and Comments about Them- GNU Project- Free Software Foundation (FSF), <a href="http://www.fsf.org/licenses/license-list.html">http://www.fsf.org/licenses/license-list.html</a> , printed 11/26/03, pp. 1-15	
	C19	Cleanscape lint jump page, <a href="http://www.cleanscape.net/products/lintonline/login.html">http://www.cleanscape.net/products/lintonline/login.html</a> , printed on 11/26/03, pg. 1	

Form PTO-1449		Docket Number (Optional) BDO-002.01	Application Number Not Yet Assigned
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>		Applicant Levin et al.	
		Filing Date December 4, 2003	Group Art Unit Not Yet Assigned
C20	Code Analysis Settings, <a href="http://www.ascensionlabs.com/pbca_CodeAnalysisSettings.htm">http://www.ascensionlabs.com/pbca_CodeAnalysisSettings.htm</a> , printed on 11/26/03, pg. 1		
C21	PB Code Analyzer, <a href="http://www.ascensionlabs.com/pbcodeanalyzer.htm">http://www.ascensionlabs.com/pbcodeanalyzer.htm</a> , printed on 11/26/03, pp. 1-5		
C22	Microsoft Software Asset Management: Microsoft Software Inventory Analyzer, <a href="http://www.microsoft.com/resources/sam/msia.msp">http://www.microsoft.com/resources/sam/msia.msp</a> , printed on 11/26/03, pg. 1		
C23	Fougnier, Network Working Group Request for Comments: 1170, "Public Key Standards and Licenses", <a href="http://ftp.rfc-editor.org/in-notes/rfc1170.txt">http://ftp.rfc-editor.org/in-notes/rfc1170.txt</a> , printed on 11/26/03, pp. 1-2		
C24	Huitema, Network Working Group Request for Comments: 1383, "An Experiment in DNS Based IP Routing", <a href="http://ftp.rfc-editor.org/in-notes/rfc1383.txt">http://ftp.rfc-editor.org/in-notes/rfc1383.txt</a> , printed on 11/26/03, pp. 1-14		
C25	Eastlake et al, Network Working Group Request for Comments: 3174, "US Secure Hash Algorithm 1 (SHA1)", <a href="http://ftp.rfc-editor.org/in-notes/rfc3174.txt">http://ftp.rfc-editor.org/in-notes/rfc3174.txt</a> , printed on 11/26/03, pp. 1-21		
C26	Arsenault et al., Network Working Group Request for Comments: 3157, "Securely Available Credentials- Requirements", <a href="http://ftp.rfc-editor.org/in-notes/rfc3157.txt">http://ftp.rfc-editor.org/in-notes/rfc3157.txt</a> , printed on 11/26/03, pp. 1-19		
C27	Rescorla et al., Network Working Group Request for Comments: 2660, "The Secure HyperText Transfer Protocol", <a href="http://ftp.rfc-editor.org/in-notes/rfc2660.txt">http://ftp.rfc-editor.org/in-notes/rfc2660.txt</a> , printed on 11/26/03, pp. 1-43		
C28	Pethia et al., Network Working Group Request for Comments: 1281, "Guidelines for the Secure Operation of the Internet", <a href="http://ftp.rfc-editor.org/in-notes/rfc1281.txt">http://ftp.rfc-editor.org/in-notes/rfc1281.txt</a> , printed on 11/26/03, pp. 1-10		
C29	Rivest, Network Working Group Request for Comments: 1321, "The MD5 Message-Digest Algorithm", <a href="http://ftp.rfc-editor.org/in-notes/rfc1321.txt">http://ftp.rfc-editor.org/in-notes/rfc1321.txt</a> , printed on 11/26/03, pp. 1-2		
C30	Open Source Initiative OSI- The Open Source Definition, <a href="http://www.opensource.org/docs/definition.php">http://www.opensource.org/docs/definition.php</a> , printed on 11/26/03, pp. 1-4		
C31	Open Source Initiative OSI- Certification Mark and Process, <a href="http://www.opensource.org/docs/certification_mark.php">http://www.opensource.org/docs/certification_mark.php</a> , printed on 11/26/03, pp. 1-5		
C32	Open Source Initiative OSI- Licensing, <a href="http://www.opensource.org/licenses/index.php">http://www.opensource.org/licenses/index.php</a> , printed on 11/26/03, pp. 1-2		
C33	Open Source Initiative OSI- The Attribution Assurance License: Licensing, <a href="http://www.opensource.org/licenses/attribution.php">http://www.opensource.org/licenses/attribution.php</a> , printed on 11/26/03, pp. 1-3		
EXAMINER			DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			